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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor: Samuel Daniel Dull, III et al.

For: METHOD AND APPARATUS FOR INSTALLING AND UPGRADING AN  
APPLICATION IN A COMPUTER SYSTEM**ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION****ASSIGNEE RESIDENCE: ARMONK, NEW YORK**

Enclosed are:

- ☒ 14 Pages of Specification and 1 Abstract
- ☒ 3 Sheets of Drawings
- ☒ A Declaration and Power of Attorney
- ☐ An Information Disclosure Statement and form PTO-1449
- ☐ A certified copy of Japanese Application
- ☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504

The filing fee has been calculated as follows:

Other Than Small Entity

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	25 -20 =	5
Indep. Claims	6 -3 =	3
<input type="checkbox"/> Multiple Dependent Claim Presented		

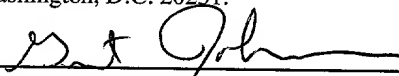
Rate	Fee
	\$ 710.00
x \$18.00=	90.00
x \$80.00=	240.00
\$270.00	\$ 0.00
<b>TOTAL</b>	<b>\$1,040.00</b>

**EXPRESS MAIL CERTIFICATE**

Express Mail Label No.: EK595506014US

Date: March 30, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.



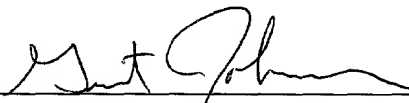
Grant A. Johnson

Patent Application Transmittal  
Attorney Docket No. ROC920010099US1

Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$1040.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

By   
Grant A. Johnson, Attorney  
Registration No. 42,696

Date: March 30, 2001

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